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1 **Blend miscibility of cellulose propionate with poly(*N*-vinyl pyrrolidone-*co*-methyl**
2 **methacrylate)**

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ABSTRACT

The blend miscibility of cellulose propionate (CP) with poly(*N*-vinyl pyrrolidone-*co*-methyl methacrylate) (P(VP-*co*-MMA)) was investigated. The degree of substitution (DS) of CP used ranged from 1.6 to >2.9, and samples for the vinyl polymer component were prepared in a full range of VP:MMA compositions. Through DSC analysis and solid-state ^{13}C NMR and FT-IR measurements, we revealed that CPs of $\text{DS} < 2.7$ were miscible with P(VP-*co*-MMA)s of $\text{VP} \geq \sim 10 \text{ mol\%}$ on a scale within a few nanometers, in virtue of hydrogen-bonding interactions between CP-hydroxyls and VP-carbonyls. When the DS of CP exceeded 2.7, the miscibility was restricted to the polymer pairs using P(VP-*co*-MMA)s of $\text{VP} = \text{ca. } 10\text{--}40 \text{ mol\%}$; the scale of mixing in the blends concerned was somewhat larger (ca. 5–20 nm), however. The appearance of such a “miscibility window” was interpretable as an effect of intramolecular repulsion in the copolymer component. Results of DMA and birefringence measurements indicated that the miscible blending of CP with the vinyl polymer invited synergistic improvements in thermomechanical and optical properties of the respective constituent polymers. Additionally, it was found that the VP:MMA composition range corresponding to the miscibility window was expanded by modification of the CP component into cellulose acetate propionate.

Keywords: Blend miscibility; Cellulose propionate; Poly(*N*-vinyl pyrrolidone-*co*-methyl methacrylate); Scale of homogeneity; Synergistic effect

1. Introduction

Organic esters of cellulose (CEs) are industrially important materials utilized in various fields including molded plastics, fibers, optical films, membranes, coatings, and so forth (Edgar et al., 2001). For improvement in physical properties of CE blends toward their further applications, the blending with other polymers can be a significant method, and a number of fundamental studies of CE blends have been carried out (Nishio, 2006). The authors' group has thus far investigated the blend miscibility of CEs with two different types of polymers, namely, biodegradable aliphatic polyesters such as poly(ϵ -caprolactone) (Higeshiro et al., 2009; Kusumi et al., 2008; Nishio et al., 1997) and synthetic vinyl polymers (Miyashita et al., 2002; Ohno et al., 2005; Ohno & Nishio, 2006; Ohno & Nishio, 2007a; Sugimura et al., 2013; Yoshitake et al., 2013).

With regard to the CE/vinyl polymer blends, three separate systems of cellulose acetate (CA), propionate (CP), and butyrate (CB), each blended with P(VP-*co*-VAc), were examined mainly by differential scanning calorimetry (DSC); the counterpart P(VP-*co*-VAc) here represents homo- and random co-polymers comprising *N*-vinyl pyrrolidone (VP) and/or vinyl acetate (VAc) units. Figs. 1a–c survey the estimation results for the three systems, by offering each miscibility map constructed as a function of the degree of ester substitution (DS) of CE and the VP:VAc composition of P(VP-*co*-VAc) (Miyashita et al., 2002; Ohno & Nishio, 2006; Sugimura et al., 2013). As can readily be seen by comparison of the three maps, the region of miscible CE/P(VP-*co*-VAc) pairings was drastically changeable depending on the carbon number of the acyl substituent of CE. Intriguingly, the CP system provided the largest miscible region (see Fig. 1b). Such a specific improvement in the miscibility with P(VP-*co*-VAc) of the CP blends was attributed to the structural affinity favorable for a dipole-dipole antiparallel alignment between the propionyl side-group and the VAc unit, as well as to the moderate length of the acyl substituent which generally works as a

steric hindrance to the hydrogen-bonding interactions associated with the residual hydroxyls (Sugimura et al., 2013).

Another system of CA/VP-containing vinyl copolymer blends was also reported on the miscibility and intermolecular interaction (Ohno & Nishio, 2007a), wherein methyl methacrylate (MMA) was selected as the second constituent of the copolymer, because of the distinguished optical property, weather resistance, and safety to living bodies of poly(methyl methacrylate) (PMMA). Fig. 1d summarizes the result of miscibility estimation for the CA/P(VP-*co*-MMA) blends. Regarding this system, an additional interest was focused on the molecular orientation and optical anisotropy in uniaxially drawn films of the miscible blends (Ohno & Nishio, 2007b); the birefringence development was widely controllable in both the degree and polarity, by altering the DS of CA, the VP:MMA ratio in P(VP-*co*-MMA), and the proportion of the mixing polymers. However, as mapped in Fig. 1d, the miscible pairing of this system was realized in a region of lower DS of CA and higher VP fraction in P(VP-*co*-MMA), and thus the blends were kind of a hydrophilic material.

As an extension of the blend studies stated above, our attention was then directed to the miscibility characterizations of CP and cellulose acetate propionate (CAP) with P(VP-*co*-MMA), in expectation of a positive effect of the propionyl substitution which would expand the DS and VP:MMA ranges for miscible pairing of CE and P(VP-*co*-MMA), as has been observed for the CE/P(VP-*co*-VAc) system. The miscibility attainment even for hydrophobic combinations of high-substituted CP or CAP and MMA-rich copolymer may be of great significance, in view of the practical application to optical films and/or membranes. In the present work, we inspected the main target system of CP/P(VP-*co*-MMA) blends on the miscibility, inter-component interactions, and scale of homogeneity, by DSC and Fourier transform infrared (FT-IR) and solid-state ^{13}C NMR spectroscopy. In addition to the basic characterizations, some mechanical and optical properties in film form of the CP/P(VP-*co*-MMA) blends were also investigated by dynamic mechanical analysis (DMA)

and birefringence measurements, respectively.

2. Experimental

2.1. Materials

CP samples were synthesized from cotton cellulose with a viscosity average molecular weight of 252,000 via a homogeneous reaction with acid chloride/base catalyst, in a procedure similar to that used in previous studies (Kusumi et al., 2008; Nishio et al., 1997). Two CAP samples were used; one was purchased from Eastman Chemical Co., and the other was obtained by acetylation of a commercial CP (Scientific Polymer Products, Inc.) in the same way as that adopted in a previous study (Aoki & Nishio, 2010). Codes “CP_x” and “CA_yP_z” denote CP of propionyl DS = x and CAP of acetyl DS = y and propionyl DS = z , respectively. Table 1 summarizes data of molecular weight and glass transition temperature (T_g) for all the CE samples used in this study. The vinyl polymers employed as a mixing partner for the CPs and CAPs were poly(*N*-vinyl pyrrolidone) (PVP), PMMA, and P(VP-*co*-MMA) copolymers, basically the same as those in the preceding paper (Ohno & Nishio, 2007a). Data of characterization for all the vinyl polymers are also listed in Table 1. As shown in the table, any of the P(VP-*co*-MMA) samples exhibited a single T_g and they were all regarded as essentially random copolymer. Hereafter, a P(VP-*co*-MMA) sample of VP:MMA = $m:n$ (in molar ratio) is encoded as P(VP_{*m*}-*co*-MMA_{*n*}).

2.2. Preparation of blend samples

Binary blend films of CP/vinyl polymer and CAP/vinyl polymer were prepared by

solution mixing and solvent evaporation in the same manner as that adopted in the preceding works (Ohno & Nishio, 2007a; Sugimura et al., 2013). *N,N*-Dimethylformamide (DMF) was selected as a common solvent and the film casting was carried out at 50 °C under reduced pressure (<10 mmHg). The as-cast samples were further dried at 50 °C *in vacuo* for 3 days.

2.3. Measurements

DSC was carried out with a Seiko DSC 6200/EXSTAR 6000 apparatus. The temperature readings were calibrated with an indium standard. The calorimetry measurements were conducted on ca. 5-mg samples packed in an aluminum pan under a nitrogen atmosphere. Each sample was first heated from ambient temperature (~25 °C) to 230 °C at a scanning rate of 20 °C min⁻¹, and then immediately quenched to -50 °C at a rate of 80 °C min⁻¹. Following this, the second heating scan was run from -50 °C to 230 °C at a rate of 20 °C min⁻¹ to record stable thermograms. For blend series of CA_{0.47}P_{2.48}, however, the upper limit of temperature in the heating scan was programmed to be 260 °C, since a melting endotherm was predicted to appear above 230 °C due to some extent of crystallizability of the CAP. Thermograms presented in this paper were all obtained in the second heating scan, and the *T_g* was taken as a temperature at the midpoint of a baseline shift in heat flow characterizing the glass transition.

FT-IR spectra were measured on thinner film samples (<20 μm thick) by using a Shimadzu IRPrestige-21 spectrometer. All the spectra were recorded at 20 °C in a transmission mode over a wavenumber range 400–4000 cm⁻¹ with a resolution of 2 cm⁻¹ via accumulation of 64 scans.

High-resolution solid-state NMR experiments were performed at 20 °C in a Varian NMR system 400 MHz operated at a ¹³C frequency of 100.6 MHz. The magic-angle spinning rate was 15.0 kHz. ¹³C CP/MAS spectra were measured with a contact time of 2 ms, and a 90 °

pulse width of 2.9 μ s was employed. In the measurements of $T_{1\rho}^H$, a contact time of 0.2 ms was used, and a proton spin-locking time τ ranged from 0.5 to 30 ms. 2048 scans were done to obtain the ^{13}C CP/MAS spectra, while 4096 scans were accumulated for the relaxation time measurements. Chemical shifts of ^{13}C spectra represented in ppm were referred to tetramethylsilane by using the methine carbon resonance (29.47 ppm) of adamantane crystals as an external reference standard. In order to minimize any possible effect due to the thermal history and/or residual solvents, each sample was heat-treated at 250 °C *in vacuo* for 5 min just before the measurement.

DMA was conducted by using a Seiko DMS6100/EXSTAR6000 apparatus for film specimens prepared by hot-press molding (230 °C, 15 MPa) of the solution-cast samples. Strips of rectangular shape (20 \times 5 mm²) cut from the molded films were used for measurements of the temperature dependence of the dynamic storage modulus (E') and loss modulus (E''). The measuring conditions were as follows: temperature range, –150–300 °C; scanning rate, 2 °C/min; oscillatory frequency, 10 Hz.

Optical birefringence (Δn) of drawn CP/vinyl polymer samples was determined by using an Olympus polarized optical microscope POS equipped with a Berek compensator, at room temperature (20 °C). Strips (20 \times 4 mm²) cut from the as-cast films were uniaxially stretched to the desired draw ratio at a temperature which was prescribed to be higher by 2 °C than T_g (as measured by DSC, mentioned above) of the blend sample used, in the same procedure as that adopted in the previous work (Ohno & Nishio, 2007b). The percentage elongation of the oriented samples was determined from the positions of ink marks on the film.

3. Results and discussion

3.1. Miscibility estimation by thermal analysis

The miscibility state in the CP and CAP/vinyl polymer systems was estimated by T_g determination in DSC. In general, if any blend sample of a given polymer/polymer pair exhibits a single glass transition between the T_g s of the two component polymers and a composition-dependent shift of the blend T_g is clearly observed, then the pair can be regarded as a miscible one on the T_g -detection scale that is usually assumed to be less than a couple of tens of nanometers (Nishio, 1994; Ultracki, 1990).

3.1.1. Overview

Fig. 2a displays a miscibility map for the CP/P(VP-*co*-MMA) system, constructed as a function of DS of CP and VP fraction in P(VP-*co*-MMA). The data for a blend series of CP/PVP homopolymer was quoted from a previous paper (Sugimura et al., 2013). In perspective, polymer pairs composed of low-substituted CP and VP-rich P(VP-*co*-MMA) were judged to be miscible. This suggests the contribution of a hydrogen-bonding interaction between CP-hydroxyl and VP-carbonyl groups to the miscibility attainment. A definite “miscibility window” emerged in a hydrophobic region satisfying propionyl DS > 2.7 and VP fraction = 9–40 mol%. As mapped in Fig. 2b, CAP/P(VP-*co*-MMA) blends using partially acetylated CA_{0.16}P_{2.52} and CA_{0.47}P_{2.48} also imparted a miscibility window; interestingly, the VP:MMA range forming the window became expanded, compared with that for the corresponding CP/P(VP-*co*-MMA) blends using CP_{2.72} or CP_{2.93}. Actual observations in the thermal analysis for the present CE blends are described below in detail.

3.1.2. CP/PMMA blends

Fig. 3a illustrates DSC thermograms measured for a blend series of CP_{1.59}/PMMA homopolymer. As can be seen from the data, two independent glass transitions originating

from the two components were clearly detected for the blend samples of 20/80–80/20 compositions (in wt% ratio). Therefore, we can judge the CP_{1.59}/PMMA pair to be immiscible. However, the T_g of the PMMA component was prone to slightly shift to higher temperatures with an increase in the CP content, while the T_g of the CP component hardly shifted from the original position.

DSC measurements were also performed on the other eight series of CP/PMMA blends prepared by using CPs with different DS values ranging from 1.71 to 2.93. The data were all similar to that given in Fig. 3a; the thermograms indicated the presence of double T_g s corresponding to those of the two constituent polymers, but habitually with some extent of elevation in the PMMA T_g . It is thus reasonably concluded that all the CP/PMMA blends are substantially immiscible irrespective of the DS of the CP used, even though a certain level of compatibility of PMMA with CP may be admitted.

3.1.3. CP/P(VP-co-MMA) blends

In visual appearance, as-cast films of CP blends with VP-MMA copolymers were homogeneous and highly transparent, except for cloudy films of several polymer pairs composed of CP of DS > 2.7 and P(VP-co-MMA) having more than 50 mol% VP residues.

Any series of CP_{1.59}/P(VP-co-MMA) blends, prepared by using the copolymers of VP:MMA = 9:91–76:24, provided a smooth variation of a single T_g which was situated between the T_g values of the two unblended components (data not shown). Thus, it turned out that CP_{1.59} formed a miscible monophasic with P(VP-co-MMA)s of VP ≥ 9 mol%. Similar miscible behavior was confirmed in the use of CPs of DS = 1.71–2.62, as the thermal data is exemplified for CP_{1.71}/P(VP_{0.22}-co-MMA_{0.78}) blends in Fig. 3b. We should note here that the CPs of DS < 2.7 were miscible with P(VP-co-MMA)s of extremely low VP fractions such as 10–30 mol%, because the same situation was never realized for the previous system employing CA (see Fig. 1d).

Another noteworthy finding was that even high-substituted CPs of $DS > 2.7$ made a miscible combination with MMA-rich P(VP-*co*-MMA)s having ca. 10–40 mol% VP residues, despite the immiscibility of the CPs with PVP and PMMA homopolymers. Fig. 3c exemplifies several DSC thermograms obtained for a polymer combination of CP_{2.72}/P(VP_{0.32}-*co*-MMA_{0.68}); all the samples of 10/90–90/10 compositions gave a single T_g . Thus, decidedly, the CP/P(VP-*co*-MMA) system exhibited a miscibility window. The advent of such a window was unacceptable as to the CA/P(VP-*co*-MMA) system, but observed previously for the CP/P(VP-*co*-VAc) and CB/P(VP-*co*-VAc) systems (see Figs. 1b and 1c) (Sugimura et al, 2013; Ohno & Nishio, 2006). In these earlier studies, it was concluded that a greater repulsion between the VP and VAc units in the random copolymer was mainly contributory to the miscibility attainment; this was rationalized by assessment of Krigbaum-Wall interaction parameters (μ) for the ingredient polymer pairs involving in the CB/P(VP-*co*-VAc) system (Ohno & Nishio, 2007a). The intramolecular copolymer effect may also be applicable to the present CP($DS > 2.7$)/P(VP-*co*-MMA) blends. The absence of such a clear miscibility window in the map of the CA/P(VP-*co*-MMA) system (Fig. 1d) is due to an inhibiting factor, i.e., the strong self-association ability of highly substituted CAs of $DS > 2.7$; the CAs are rather easily crystallizable as cellulose triacetate II.

In comparison between the two maps (Figs. 1b and 2a) for the CP blends combined with different copolymers, P(VP-*co*-VAc) and P(VP-*co*-MMA), obviously, the window region for the CP/P(VP-*co*-MMA) system is narrower than that for the CP/P(VP-*co*-VAc) system. Again, from estimation of the interaction parameters for the two units constituting the respective copolymers concerned (Ohno & Nishio, 2007a), it has been derived that the constituents VP and VAc in P(VP-*co*-VAc) strike an intense repellent character to each other, while the VP and MMA units in P(VP-*co*-MMA) show a relatively weaker repulsive interaction. Presumably, this deterioration of the intramolecular repulsive action in the P(VP-*co*-MMA) copolymer is responsible for the appearance of the narrower window in the

CP/P(VP-*co*-MMA) map (Fig. 2a).

3.1.4. CAP/P(VP-*co*-MMA) blends

DSC thermograms obtained for a set of $CA_{0.16}P_{2.52}/P(VP_{0.61}\text{-}co\text{-}MMA_{0.39})$ blends are displayed in Fig. 3d; we can see a single T_g shifting to lower temperatures along with an increase in the $P(VP_{0.61}\text{-}co\text{-}MMA_{0.39})$ content. Such a miscible sign was observed for blend series of this CAP with $P(VP\text{-}co\text{-}MMA)$ s of VP = 13–76 mol%, but never done for the CAP blends with PVP and PMMA homopolymers.

Fig. 3e shows a miscible evidence by DSC for a $CA_{0.47}P_{2.48}/P(VP_{0.09}\text{-}co\text{-}MMA_{0.91})$ combination using another CAP sample. Differing from the overall amorphous behavior of $CA_{0.16}P_{2.52}$, the $CA_{0.47}P_{2.48}$ sample exhibited an exothermic peak (180 °C) and an endothermic peak (240 °C) after onset of the glass transition ($T_g = 132$ °C); the two peaks are ascribable to a so-called cold-crystallization and subsequent melting of the formed crystal, respectively. Such crystallizability was also noticed for $CP_{2.93}$ of $DS > 2.9$, but the crystalline phase was formed in somewhat slower crystallization kinetics. Despite of the crystalline habit common to tri-esterified celluloses, as exemplified in Fig. 3e, the $CA_{0.47}P_{2.48}$ blends with $P(VP_{0.09}\text{-}co\text{-}MMA_{0.91})$ exhibited a definitely single composition-dependent T_g and then produced a systematic depression in the melting point of the induced CAP crystal. This coupled thermal behavior is typical of that of miscible blends composed of a pair of crystallizable polymer/amorphous polymer (MacKnight et al., 1978). Similar DSC data were obtained for additional six combinations of $CA_{0.47}P_{2.48}$ with $P(VP\text{-}co\text{-}MMA)$ s of VP = 13–50 mol%.

Fig. 2b summarizes the miscibility estimation for the CAP/P(VP-*co*-MMA) series using $CA_{0.16}P_{2.52}$ and $CA_{0.47}P_{2.48}$, as a function of VP fraction in $P(VP\text{-}co\text{-}MMA)$; the corresponding data for comparable CP/P(VP-*co*-MMA) blends using $CP_{2.72}$ and $CP_{2.93}$ are also shown there. The two CAPs may be regarded as derivatives obtained from CPs of $DS \approx 2.7$ and 2.95,

respectively, by partial ester exchange for acetyl substitution. Plainly, both the CAP/P(VP-*co*-MMA) series offer the miscibility window, as did the blend series using CPs of $DS \geq \sim 2.7$; again, the intramolecular repulsion in the vinyl copolymer would be principally responsible for the observation. However, the range of copolymer composition forming the miscibility window is much wider in the CAP series, compared with that in the CP series of the corresponding DS in total. This expansion of the window might be ascribed to an additional repulsion effect originating in the CAP side. That is, the cellulose mixed ester would also behave as a kind of copolymer dangling two different acyl side-groups along the carbohydrate backbone. A similar deal of cellulose alkyl esters as copolymer has been made in a few reports on their structural characteristics (Buchanan et al., 1996; Frazier & Glasser, 1995; Ohno & Nishio, 2006). Therefore, the CAP/P(VP-*co*-MMA) blends are actually taken as a copolymer/copolymer system, where the miscibility should be affected by the duplicate, intramolecular copolymer effect.

3.2. Spectroscopic analysis of intermolecular interaction and mixing scale

3.2.1. FT-IR spectra

Fig. 4 compiles FT-IR spectra obtained for blends of the miscible CP_{1.71}/P(VP_{0.22}-*co*-MMA_{0.78}) pair, particularly focusing on two regions of (a) O-H and (b) C=O stretching vibrations. Frequency shift and/or shape variation are clearly observed for the specific IR bands as a result of the hydrogen-bonding formation between the residual hydroxyls of the CP component and the VP-carbonyls of the copolymer. As shown in Fig. 4a, a band centering at 3482 cm⁻¹ (top data), which can be associated with a mixture of free hydroxyls and intramolecularly hydrogen-bonded OH groups in the unblended CP, shifted to lower wavenumber positions with increasing P(VP-*co*-MMA) content. Concomitantly, another shoulder band became discernible on the side of further lower wavenumbers, as

indicated by a white arrow at $\sim 3300\text{ cm}^{-1}$ in Fig. 4a. This new band can be ascribed to the stretching of intermolecularly hydrogen-bonded OH groups (Marchessault & Liang, 1960).

Concerning the frequency region of C=O groups (Fig. 4b), a 1683 cm^{-1} band assigned to VP-carbonyl stretching vibration in the copolymer became asymmetric progressively as the CP content increased in the binary blend system. Consequently, the absorption band was dividable into two peaks, larger and smaller ones at $\sim 1685\text{ cm}^{-1}$ and $\sim 1660\text{ cm}^{-1}$, respectively (see enlarged data in the range of $1650\text{--}1700\text{ cm}^{-1}$). These two IR signals for the VP unit of the copolymer may be associated with the free carbonyl and hydrogen-bonded carbonyl groups, respectively (Masson & Manley, 1991). The $\text{CP}_{1.71}/\text{P}(\text{VP}_{0.22}\text{-co-MMA}_{0.78})$ blends provided an additional single band centering at $1730\text{--}1740\text{ cm}^{-1}$, but this band was virtually made up of a prorated mixture of two carbonyl signals: a propionate C=O peak (1744 cm^{-1}) of the CP component and an MMA C=O peak (1727 cm^{-1}) of the copolymer component.

The inter-component interaction based on the hydrogen bonding of $\text{OH}\cdots\text{O}=\text{C}$, just as described above, was also ascertained not only for the blend series of $\text{CP}_{1.71}$ with VP-rich $\text{P}(\text{VP-co-MMA})$ s including PVP, but also for other selected miscible pairs using CPs of $\text{DS} < 2.7$. For contradistinction, it should be recalled that CA of $\text{DS} = 1.80$ never formed the same kind of hydrogen-bonding interaction with $\text{P}(\text{VP}_{0.22}\text{-co-MMA}_{0.78})$ (Ohno & Nishio, 2007a) and the polymer pair was immiscible (see Fig. 1d). This contrast to the observation for the $\text{CP}_{1.71}/\text{P}(\text{VP}_{0.22}\text{-co-MMA}_{0.78})$ pair reflects the difference in self-association nature between CA and CP, the former having the stronger ability.

When the copolymer $\text{P}(\text{VP}_{0.22}\text{-co-MMA}_{0.78})$ was blended with $\text{CP}_{2.89}$, however, there was no indication of such an intermolecular hydrogen-bonding interaction in IR examinations (data not shown). This result may be reasonable. With regard to the $\text{CP}(\text{DS} > 2.7)/\text{P}(\text{VP-co-MMA})$ pairs constituting the miscibility window in Fig. 2a, the blend miscibility would be attained through the repulsion effect in the $\text{P}(\text{VP-co-MMA})$ side, not driven by direct attraction based on that hydrogen bonding.

3.2.2. Homogeneity as estimated by solid-state ^{13}C NMR

As a useful relaxation technique in solid-state ^{13}C NMR, $T_{1\rho}^{\text{H}}$ measurements for specific carbons in a multicomponent polymer system make it possible to estimate the mixing homogeneity in a scale of ^1H spin-diffusion length that is usually within several nanometers (Masson & Manley, 1991; Ohno et al., 2005; Zhang et al., 1992); the dimensional limit is smaller than that (~ 20 nm) detectable by DSC thermal analysis. $T_{1\rho}^{\text{H}}$ values can be obtained by fitting the decaying carbon resonance intensity to the following exponential equation:

$$M(\tau) = M(0) \exp(-\tau/T_{1\rho}^{\text{H}}) \quad (1)$$

where $M(\tau)$ is the magnetization intensity observed as a function of the spin-locking time τ . If two constituent polymers are homogeneously mixed on the scale over which ^1H spin-diffusion can take place in a time $T_{1\rho}^{\text{H}}$, the $T_{1\rho}^{\text{H}}$ values for different protons belonging to the respective components may be equalized to each other by the spin diffusion.

Using the above technique, we made a comparative assessment of the mixing scale for the two miscible series of blends: $\text{CP}_{1.71}/\text{P}(\text{VP}_{0.22}\text{-co-MMA}_{0.78})$ and $\text{CP}_{2.89}/\text{P}(\text{VP}_{0.22}\text{-co-MMA}_{0.78})$, the driving factor contributory to the respective miscibility attainments being the intermolecular hydrogen-bonding interaction (for the former) or the intramolecular repulsion effect in the copolymer (for the latter). Fig. 5 exemplifies ^{13}C CP/MAS spectra obtained for $\text{CP}_{1.71}$, $\text{P}(\text{VP}_{0.22}\text{-co-MMA}_{0.78})$, and their 50/50 blend. The peak assignments of the spectra are based on literature data for CP (Tezuka & Tsuchiya, 1995), PVP (Zhang et al., 1992), and PMMA (Liu et al., 1994). The experiment of $T_{1\rho}^{\text{H}}$ quantifications was conducted through monitoring the following ^{13}C resonance signals with better resolutions: C2/C3/C5 pyranose carbons (74 ppm) and propionyl carbons C8 (28 ppm) and C9 (9.3 ppm) for the CP component, and $\text{C}_\alpha/\text{C}_\epsilon$ (52 ppm), $\text{C}_\beta/\text{C}_\gamma/\text{C}_\delta$ (45 ppm), and $\text{C}_\delta/\text{C}_\gamma$ carbons (18 ppm) for the $\text{P}(\text{VP-co-MMA})$ component. Carbonyl carbons were not adoptable for the quantification, because the corresponding peak (~ 173.5 ppm) of the CP component

merged into the other split signal of VP/MMA carbonyls (~174/177 ppm) of the copolymer.

Fig. 6 illustrate the decay behavior in intensity of the C2/C3/C5 and C_b/C_c/C_β peaks for unblended CP (CP_{1.71} or CP_{2.89}) and P(VP_{0.22-co-MMA}_{0.78}), respectively, and also for their 50/50 blend imparting both resonance signals. The slope of each semi-logarithmic plot corresponds to an inverse of $-T_{1\rho}^H$. The $T_{1\rho}^H$ data thus estimated for CP_{1.71}, CP_{2.89}, P(VP_{0.22-co-MMA}_{0.78}), and their miscible blends of CP/P(VP-co-MMA) = 75/25–25/75 are all listed in Table 2.

As can be seen from Table 2 (upper part), $T_{1\rho}^H$ of the CP_{1.71} component, originally 20.0 ms as an average, rises systematically with an increase in the copolymer component, while that of the P(VP_{0.22-co-MMA}_{0.78}) component (average value of 23.6 ms) diminishes correspondingly with increasing CP_{1.71} content. Consequently, the two $T_{1\rho}^H$ values at every blend composition are in good agreement with each other. Thus, it is reasonably deduced that the two constituent polymers in the blends are intimately mixed within a range where the mutual ¹H-spin diffusion is permitted over a period of the respective homogenized $T_{1\rho}^H$, e.g., ~22 ms for the 50/50 composition.

An effective path length L of the spin diffusion in a time $T_{1\rho}^H$ is given by the following equation (McBrierty & Douglass, 1981):

$$L \cong (6DT_{1\rho}^H)^{1/2} \quad (2)$$

where D is the spin-diffusion coefficient, usually taken to be $\sim 1.0 \times 10^{-12} \text{ cm}^2 \text{ s}^{-1}$ in organic polymer materials (Assink, 1978; Masson & Manley, 1991; Radloff et al., 1996). By adopting $T_{1\rho}^H$ data of 21–23 ms approximated for the CP_{1.71}/P(VP_{0.22-co-MMA}_{0.78}) blends of 75/25–25/75 compositions, the diffusion path length is calculated as $L = 3.5\text{--}3.7 \text{ nm}$. Accordingly, it is confirmed that the relevant miscible series of hydrogen-bonding type is virtually homogeneous in a scale of ca. 4 nm.

With regard to the CP_{2.89}/P(VP_{0.22-co-MMA}_{0.78}) series, on the contrary, $T_{1\rho}^H$ s of the two components at every blend composition never became so close to each other (see Fig. 6b and

Table 2 (lower part)). This temporal disagreement implies that the relaxation processes of the two polymers in the blends progressed independently without their cooperative spin diffusion; thus the blends were found to be heterogeneous when viewed in a few nanometers scale by $T_{1\rho}^H$ measurements. By the combined use of this result and the previous DSC data, it can be judged that the scale of homogeneity in the $CP_{2.89}/P(VP_{0.22-co-MMA_{0.78}})$ blends situated in the window region lies between approximately 5 and 20 nm.

3.3. Synergistic effects on properties of CP/P(VP-co-MMA) films

3.3.1. Mechanical properties estimated by DMA

Fig. 7a shows the temperature dependence of the dynamic storage modulus E' and loss modulus E'' for $CP_{2.18}/P(VP_{0.22-co-MMA_{0.78}})$ blends of 75/25, 50/50, and 25/75 compositions, together with the corresponding data for plain $CP_{2.18}$ and $P(VP_{0.22-co-MMA_{0.78}})$. As demonstrated clearly in the figure, the blend samples provided a single and sharp transition signal, both in the E' drop and in the E'' peak, which shifted systematically with the composition; this indicates a sign of good miscibility for the polymer pair. Similar behavior was observed for other test series including $CP_{2.18}/PVP$ and $CP_{2.89}/P(VP_{0.22-co-MMA_{0.78}})$ blends.

Fig. 7b collects the glass-state modulus E' (at 20 °C) versus composition plots for the three series of $CP_{2.18}/PVP$, $CP_{2.18}/P(VP_{0.22-co-MMA_{0.78}})$, and $CP_{2.89}/P(VP_{0.22-co-MMA_{0.78}})$; however, the modulus data for the PVP homopolymer *per se* was not obtained because of a brittle nature of the film. As can be seen from the plots in the figure, the modulus of any of the blend films was usually higher than those of the respective unblended CP and vinyl polymer samples. This result may be interpreted as a synergistic improvement in thermomechanical property of the cellulosic and vinyl polymer materials by miscible blending. Furthermore, we find by careful inspection that the rising level in the glassy

modulus of the CP blends varied with difference in the driving force for the miscibility attainment; viz., the miscible blends of hydrogen-bonding type, referring to the CP_{2.18}/PVP and CP_{2.18}/P(VP_{0.22-co}-MMA_{0.78}) series, exhibited a noticeable elevation in the modulus E' , whereas the CP_{2.89}/P(VP_{0.22-co}-MMA_{0.78}) series situated in the miscibility window showed a comparatively smaller increase in the E' value.

3.3.2. Birefringence of CP/P(VP-co-MMA) films

Optical birefringence derives from the orientation of polymer chains which have inherently the anisotropy of polarizability. In the simplest case of uniaxial stretching of amorphous homopolymers, the birefringence, defined as $\Delta n = n_{\parallel} - n_{\perp}$ with a refractive index (n_{\parallel}) parallel to the draw direction and that (n_{\perp}) perpendicular to it, varies monotonically with the degree of orientation, according to the equation:

$$\Delta n = \{(3\langle \cos^2 \omega \rangle - 1) \Delta n^0\} / 2 \quad (3)$$

where Δn^0 is an intrinsic birefringence for the perfect uniaxial orientation of polymer chains, and $\langle \cos^2 \omega \rangle$ is the second moment of orientation for an anisotropic segmental unit with a certain polarizability. In the case of the stretching of a blend composed of polymer 1 and polymer 2, the birefringence Δn of the deformed sample may be represented by

$$\Delta n = v_1 \Delta n_1 + v_2 \Delta n_2 \quad (4)$$

where $v_i \Delta n_i$ ($i = 1, 2$) indicates the contribution of an oriented polymer component i to the total birefringence and v_i denotes the volume fraction of that component.

Fig. 8 compiles results of the birefringence measurements conducted for drawn films of a miscible CP_{2.09}/P(VP_{0.46-co}-MMA_{0.54}) series of hydrogen-bonding type. As is already known (Ohno & Nishio, 2007b), vinyl polymers comprising VP and/or MMA units, including the present P(VP_{0.46-co}-MMA_{0.54}), exhibit negative optical anisotropy ($\Delta n^0_{\text{VP-MMA}} < 0$) upon stretching of their films. On the other hand, as seen in the figure, the CP of DS = 2.09

showed positive optical anisotropy ($\Delta n_{\text{CP}}^0 > 0$) on stretching of the film, and the birefringence increased sharply with the extent of elongation. The magnitude of Δn evaluated for this CP was higher than that obtained previously for CA of DS = 2.18, when compared at a given stage of elongation; this suggests that a flexible methylene-methyl sequence in the propionyl side-group would be aligned parallel to the cellulose backbone, which contributes to the increase of the parallel component of refractive index (n_{\parallel}).

The optical anisotropy of the oriented CP_{2.09}/P(VP_{0.46}-co-MMA_{0.54}) blends was seriously affected in both the polarity and degree, by the compensation effect due to the positive and negative contributions of the CP and copolymer components, respectively, to the overall birefringence. When the CP content reached 50 wt%, the blend film assumed a character of birefringence-free material, as shown in Fig. 8. That is, the 50/50 blend can behave like an optically isotropic medium even though it should be mechanically anisotropic after deformation. A copolymer-rich sample of CP_{2.09}/P(VP_{0.46}-co-MMA_{0.54}) = 30/70 always provided negative birefringence, the absolute value of which was larger rather than that of the unblended copolymer. This result suggests that the orientation of the vinyl copolymer chains was enhanced in the presence of the CP component, possibly by virtue of the inter-component interaction that can occur through hydrogen bonding between the carbonyl and hydroxyl groups. The details of the actual molecular orientation behavior in these drawn blends will be investigated in a subsequent paper.

4. Conclusions

Blend miscibility of CP with P(VP-co-MMA) was examined by DSC, and a data map (Fig. 2a) was successfully constructed as a function of both the propionyl DS of CP and the VP:MMA composition of P(VP-co-MMA). Compared to the previous system using CA (Fig.

1d), the miscible pairing region expanded to cover a considerably hydrophobic area of higher DS and MMA-rich composition, with the advent of a miscibility window driven by repulsion between the comonomer units constituting P(VP-*co*-MMA). However, the miscibility window was evidently narrower relative to that observed formerly for the CP/P(VP-*co*-VAc) system (Fig. 1b), reflecting that the intramolecular repulsion in P(VP-*co*-MMA) is weaker than that in P(VP-*co*-VAc).

From spectroscopic measurements by FT-IR and solid-state NMR, it was found that miscible blends composed of CP of DS < 2.7 and P(VP-*co*-MMA) of VP > 10 mol% were substantially homogeneous on a scale within a few nanometers (e.g. ~4 nm), by virtue of the hydrogen-bonding formation between CP-hydroxyls and VP-carbonyls. On the other hand, miscible pairs using CPs of DS ≥ 2.7 and P(VP-*co*-MMA)s of VP = 10–40 mol%, situated in the window region, produced blends having a somewhat larger size of homogeneity (ca. 5–20 nm).

By DMA and birefringence measurements, we successfully demonstrated synergistic improvements in thermomechanical and optical properties of the miscible CP/P(VP-*co*-MMA) blends. Particularly striking effects of the synergism were observed for the miscible blends of hydrogen-bonding type. With a certain specific polymer composition, the drawn blend can show a zero-birefringence character.

The miscibility characterization was also made for two CAP/P(VP-*co*-MMA) series using propionyl-rich CAPs; both the series also offered a miscibility window (Fig. 2b). It is astonishing that the range of copolymer composition forming the miscibility window was much wider in the CAP series, compared with that in the CP series of the corresponding DS in total. This expansion of the window would be ascribable to an additional repulsion effect originating in the CAP side; the blends concerned are therefore taken as a copolymer/copolymer system where the miscibility should be affected by the duplicated, intramolecular copolymer effect.

From a practical point of view, the present results will be of great significance for expanding the opportunities of material design based on the CE family. Further studies on the miscibility and interactions are now in progress for other combinations of CAPs of various acetyl/propionyl proportions with vinyl copolymers. Our insight will also be given into the molecular orientation behavior in drawn blends made up of a miscible pair of CP or CAP and P(VP-*co*-MMA), in relation to their birefringence characteristics as optical materials. These are topics to be reported in a subsequent paper.

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- 565

Figure Captions

Fig. 1. Miscibility maps for four blend systems (a) CA/P(VP-*co*-VAc), (b) CP/P(VP-*co*-VAc), (c) CB/P(VP-*co*-VAc), and (d) CA/P(VP-*co*-MMA), quoted from previous papers (Miyashita et al., 2002 for (a); Sugimura et al., 2013 for (b); Ohno & Nishio, 2006 for (c); Ohno & Nishio, 2007a for (d)) in a rearranged style retaining the essence.

Fig. 2. (a) Miscibility map for the blend system CP/P(VP-*co*-MMA), depicted as a function of DS of CP and VP fraction in P(VP-*co*-MMA), and (b) miscibility estimation for CAP/P(VP-*co*-MMA) blends using partially acetylated CA_{0.16}P_{2.52} and CA_{0.47}P_{2.48} as a function of VP fraction in P(VP-*co*-MMA), in comparison with the corresponding CP/P(VP-*co*-MMA) blends using CP_{2.72} and CP_{2.93}, respectively. Symbols indicate that a given pair of CP or CAP/P(VP-*co*-MMA) is miscible (○) or immiscible (×).

Fig. 3. DSC thermograms obtained for (a) CP_{1.59}/PMMA, (b) CP_{1.71}/P(VP_{0.22}-*co*-MMA_{0.78}), (c) CP_{2.72}/P(VP_{0.32}-*co*-MMA_{0.68}), (d) CA_{0.16}P_{2.52}/P(VP_{0.61}-*co*-MMA_{0.39}), and (e) CA_{0.47}P_{2.48}/P(VP_{0.09}-*co*-MMA_{0.91}) blends. Arrows indicate a T_g position taken as the midpoint of a baseline shift in heat flow.

Fig. 4. FT-IR spectra of CP_{1.71}, P(VP_{0.22}-*co*-MMA_{0.78}), and their blends in the frequency regions of (a) O-H and (b) C=O stretching vibrations. Data in the ranges of 3100–3500 cm⁻¹ and 1650–1700 cm⁻¹ are also shown on an enlarged scale. Solid arrows indicate a peak-top position in the respective specific absorption bands, and white arrows indicate a shoulder band associated with hydrogen bonding (see text for discussion).

Fig. 5. Solid-state ¹³C CP/MAS NMR spectra for CP_{1.71}, P(VP_{0.22}-*co*-MMA_{0.78}), and their

27 50/50 blend.

28

29 **Fig. 6.** Semilogarithmic plots of the decay of ^{13}C resonance intensities as a function of
30 spin-locking time τ , for solid films of (a) $\text{CP}_{1.71}$, $\text{P}(\text{VP}_{0.22}\text{-co-MMA}_{0.78})$, and their 50/50 blend,
31 and (b) $\text{CP}_{2.89}$, $\text{P}(\text{VP}_{0.22}\text{-co-MMA}_{0.78})$, and their 50/50 blend. The monitoring was conducted
32 for the peak intensity of C2/C3/C5 pyranose carbons of CP and that of $\text{C}_b/\text{C}_c/\text{C}_\beta$ carbons of
33 the copolymer (see Fig. 5).

34

35 **Fig. 7.** (a) Temperature dependence of the dynamic storage modulus E' and loss modulus E''
36 for $\text{CP}_{2.18}/\text{P}(\text{VP}_{0.22}\text{-co-MMA}_{0.78})$ blends, and (b) the glassy state E' value (measured at 20 °C)
37 vs. composition plots for three series of blends, $\text{CP}_{2.18}/\text{PVP}$, $\text{CP}_{2.18}/\text{P}(\text{VP}_{0.22}\text{-co-MMA}_{0.78})$, and
38 $\text{CP}_{2.89}/\text{P}(\text{VP}_{0.22}\text{-co-MMA}_{0.78})$.

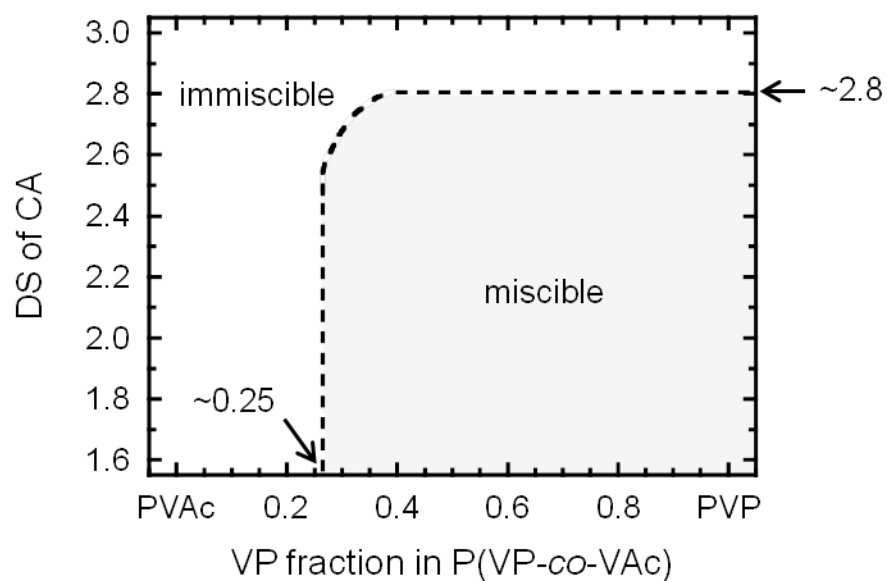
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40 **Fig. 8.** Plots of birefringence Δn vs. % elongation for drawn films of
41 $\text{CP}_{2.09}/\text{P}(\text{VP}_{0.46}\text{-co-MMA}_{0.54})$ blends.

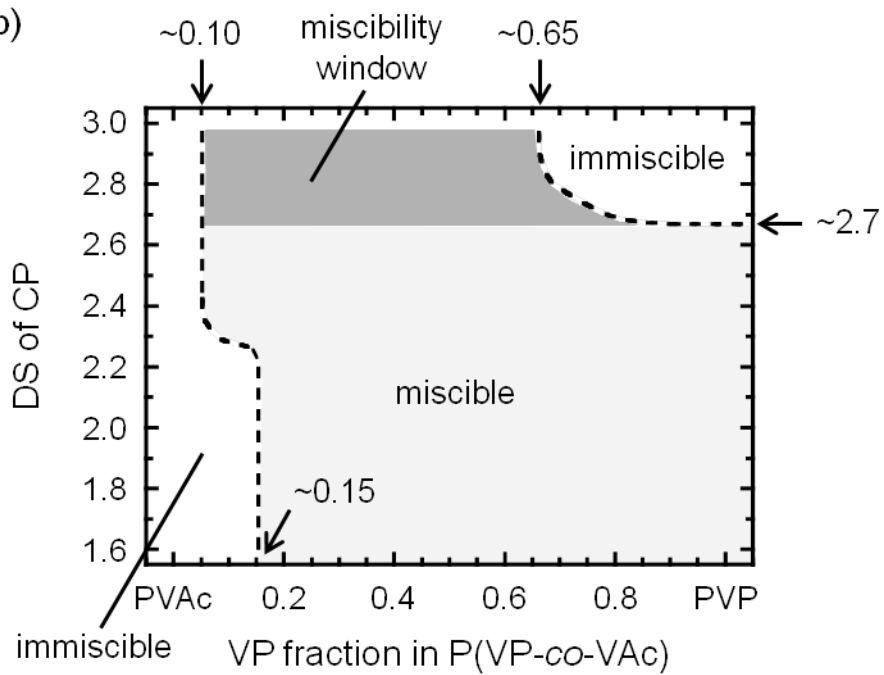
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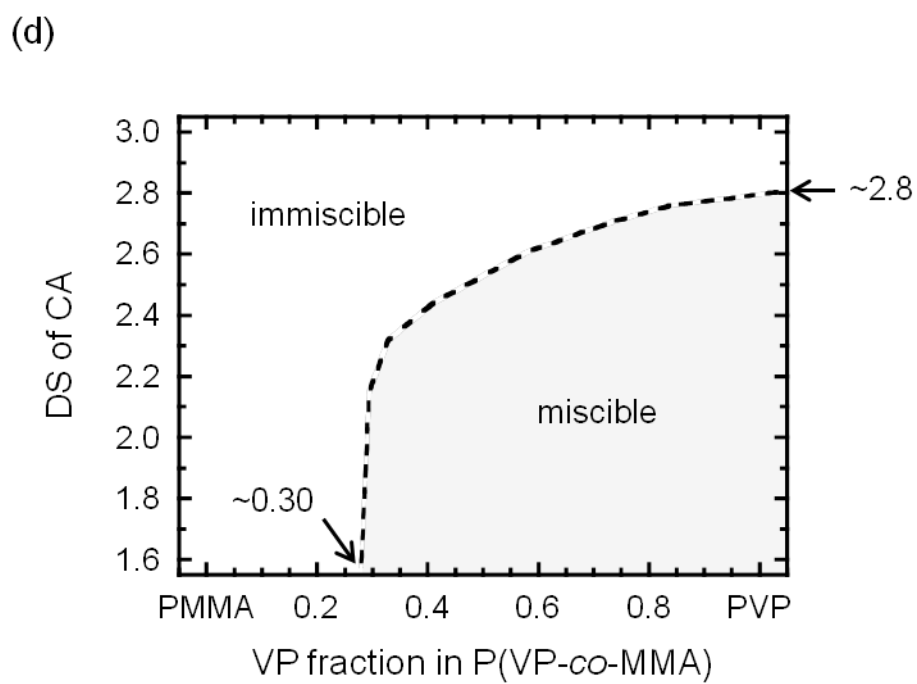
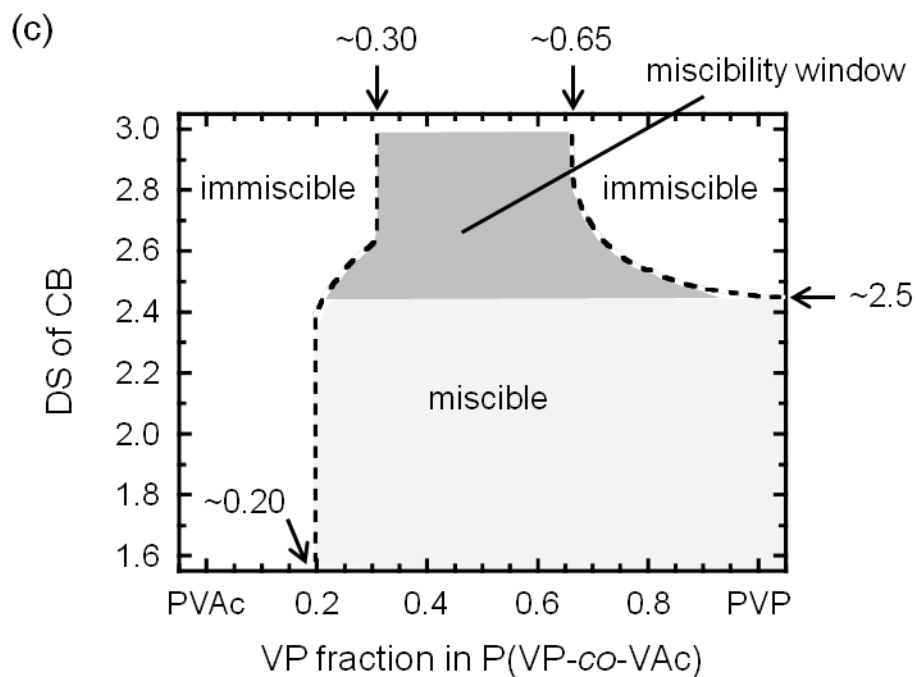
(a)



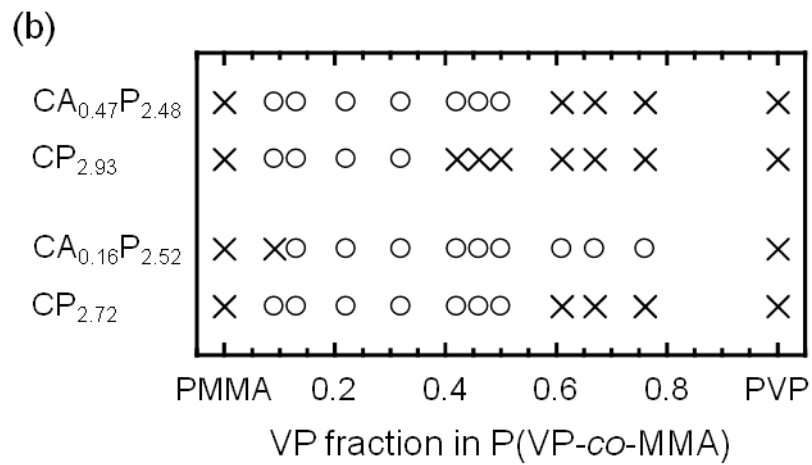
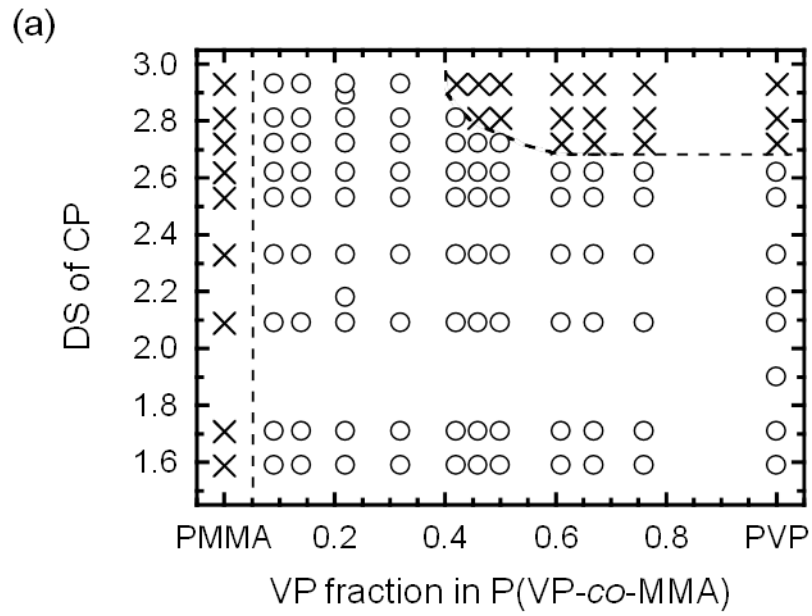
(b)



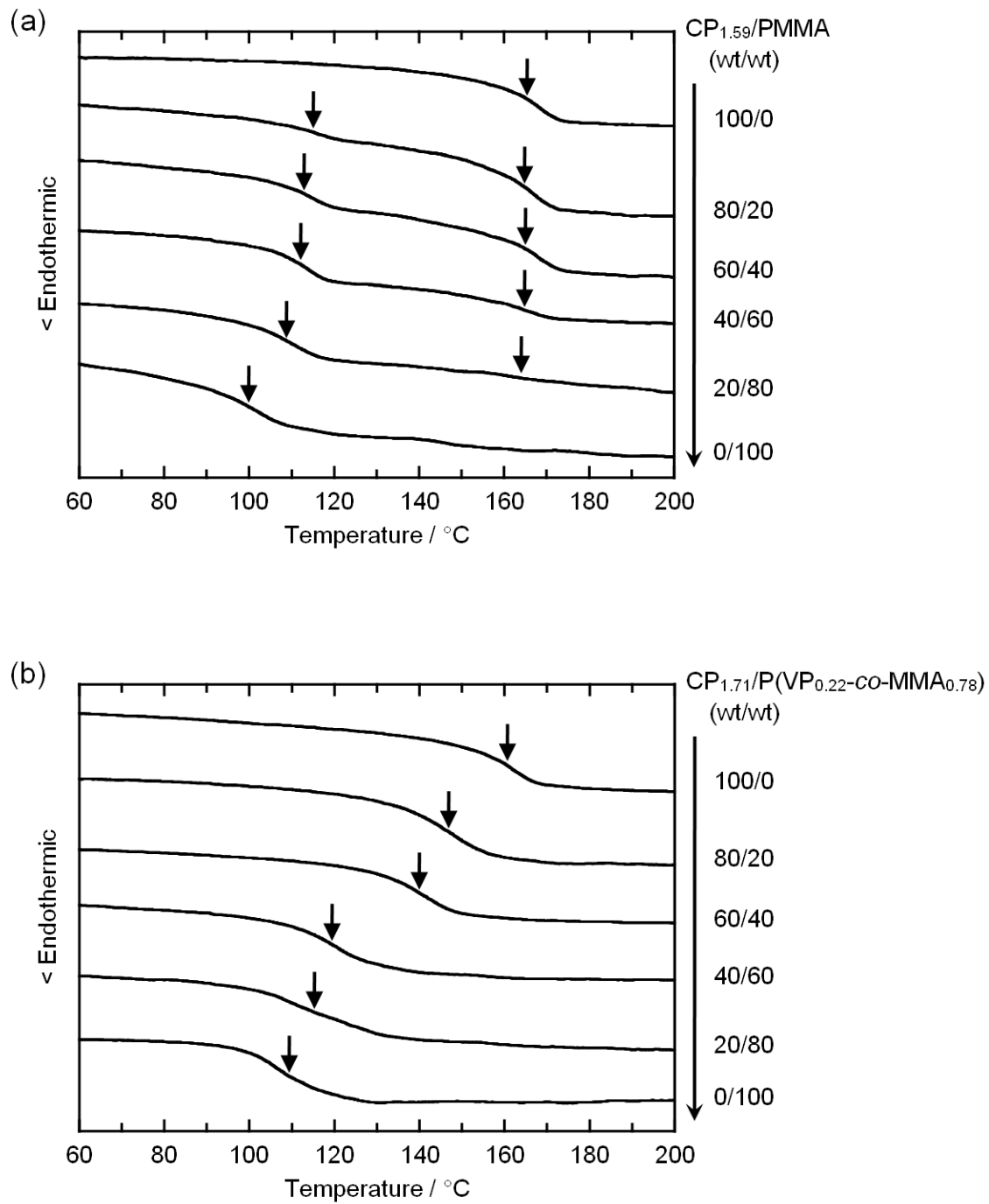
<<Fig. 1.>>



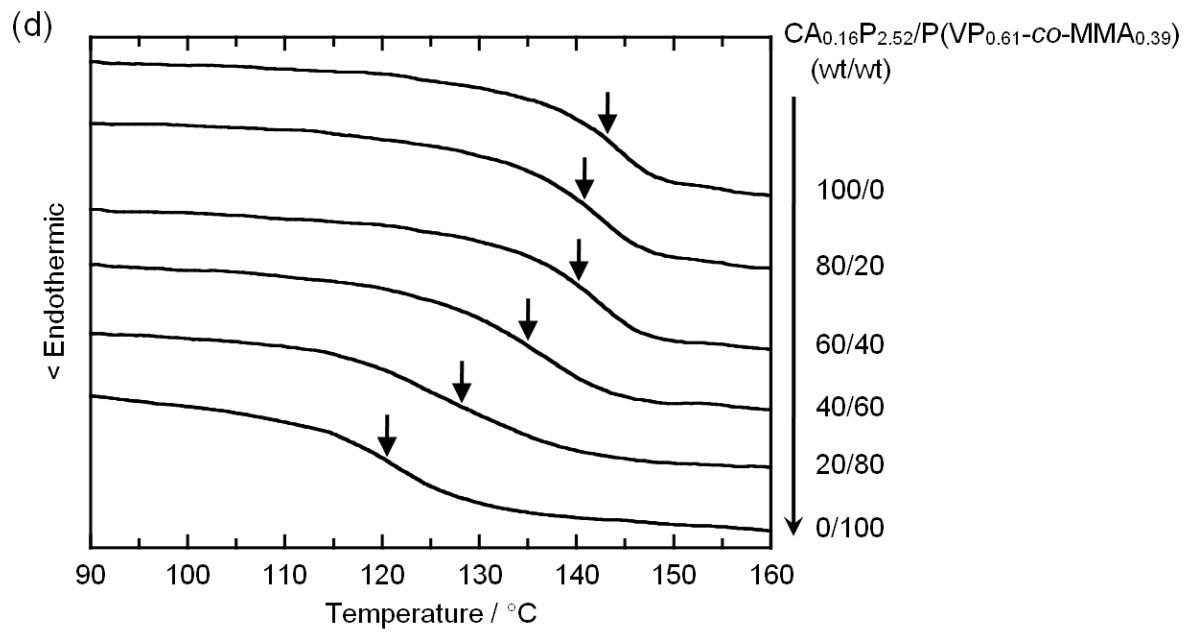
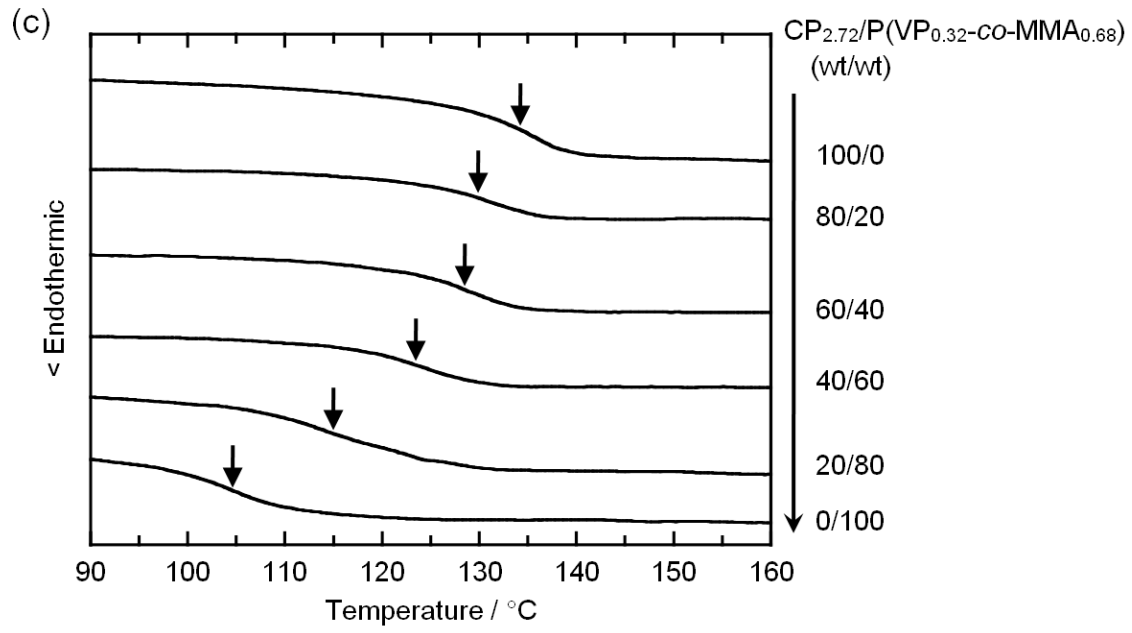
<<Fig. 1. Continued.>>



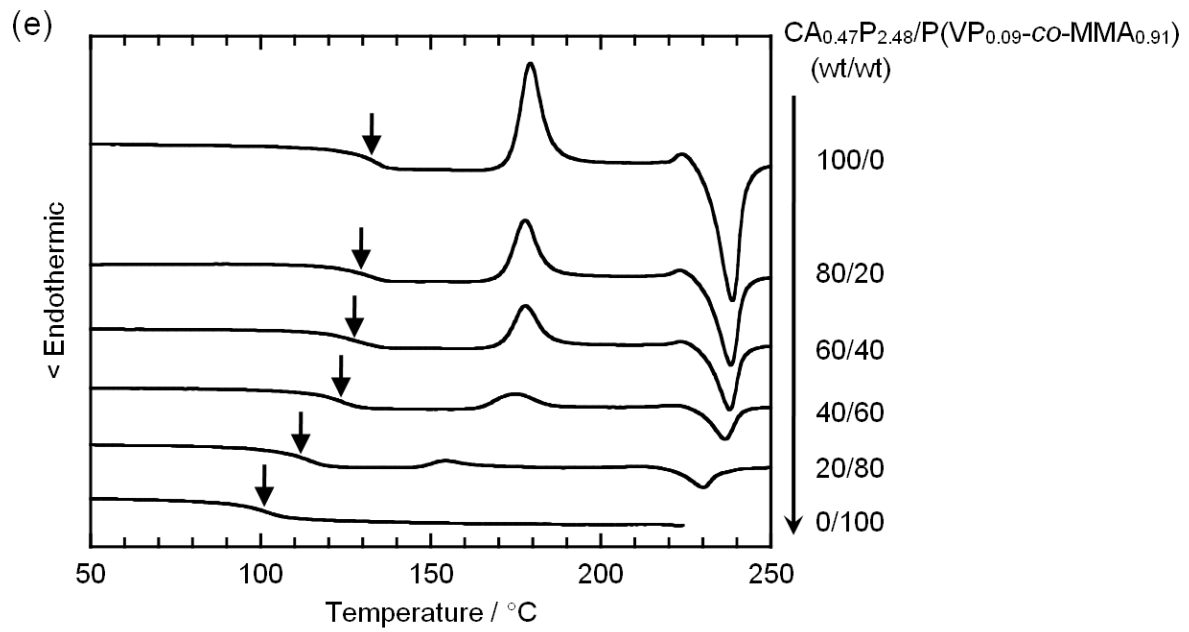
<<Fig. 2.>>



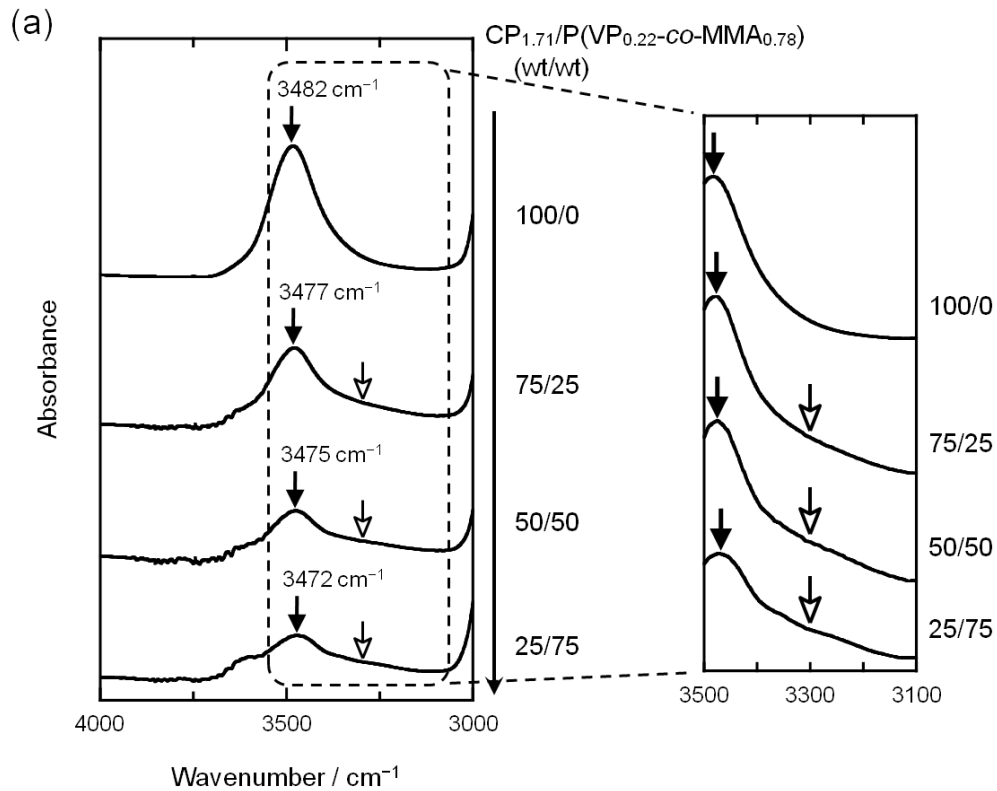
<<Fig. 3.>>



<<Fig. 3. Continued.>>

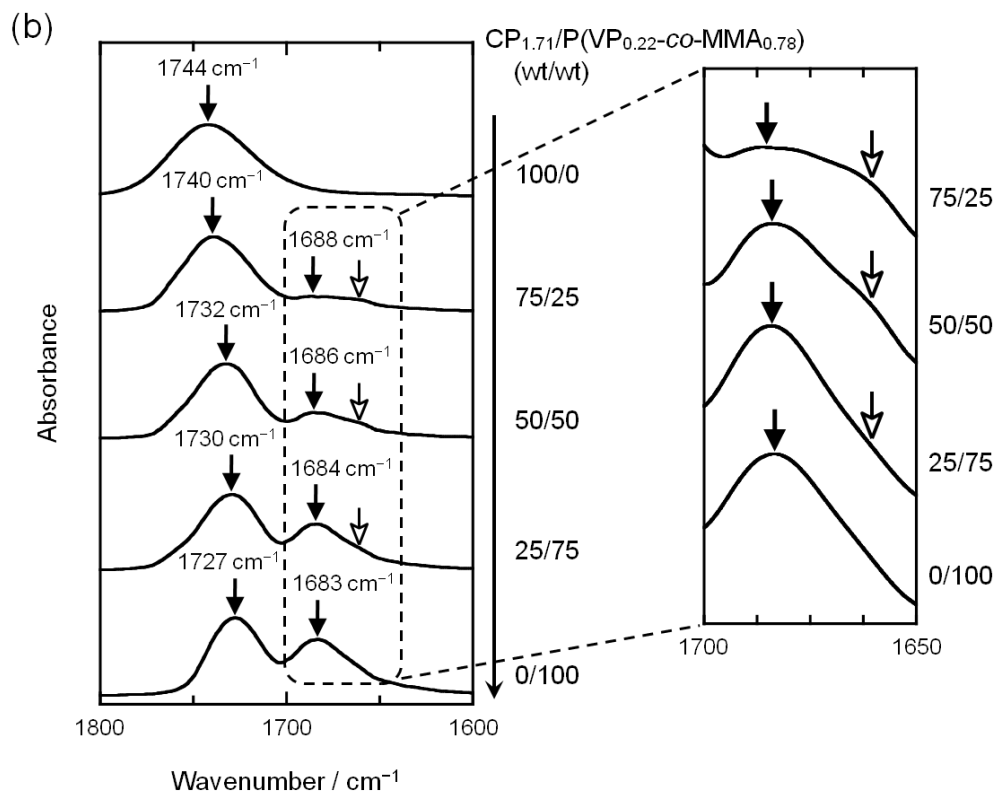


<<Fig. 3. Continued.>>



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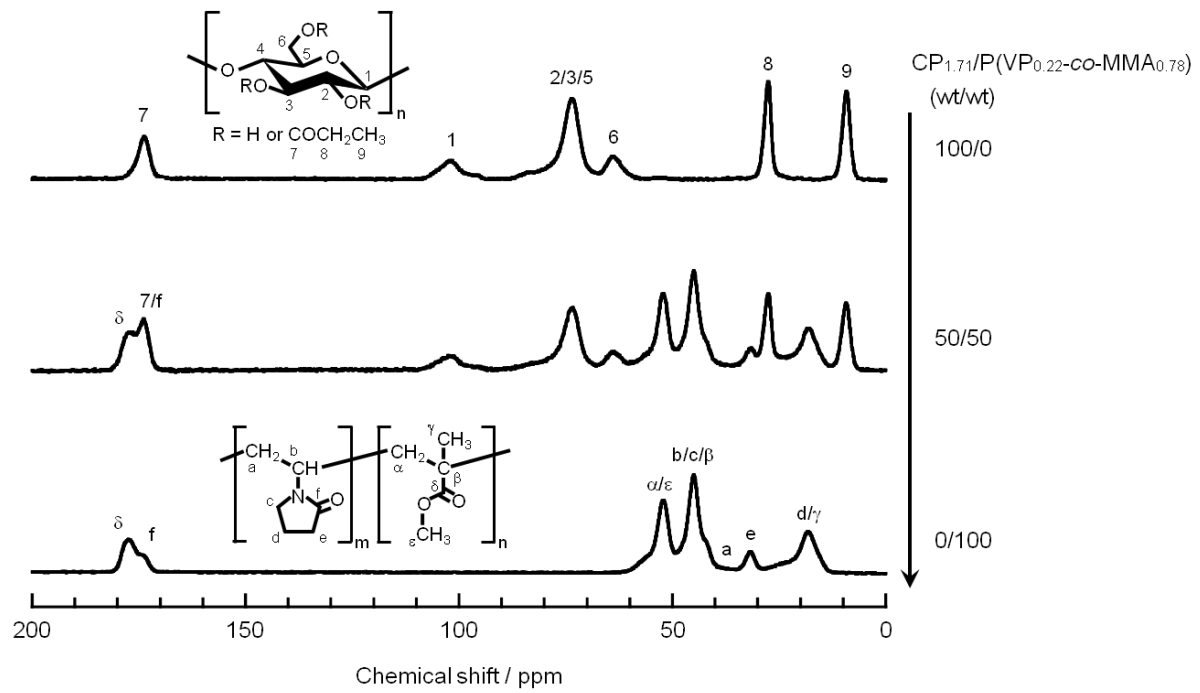


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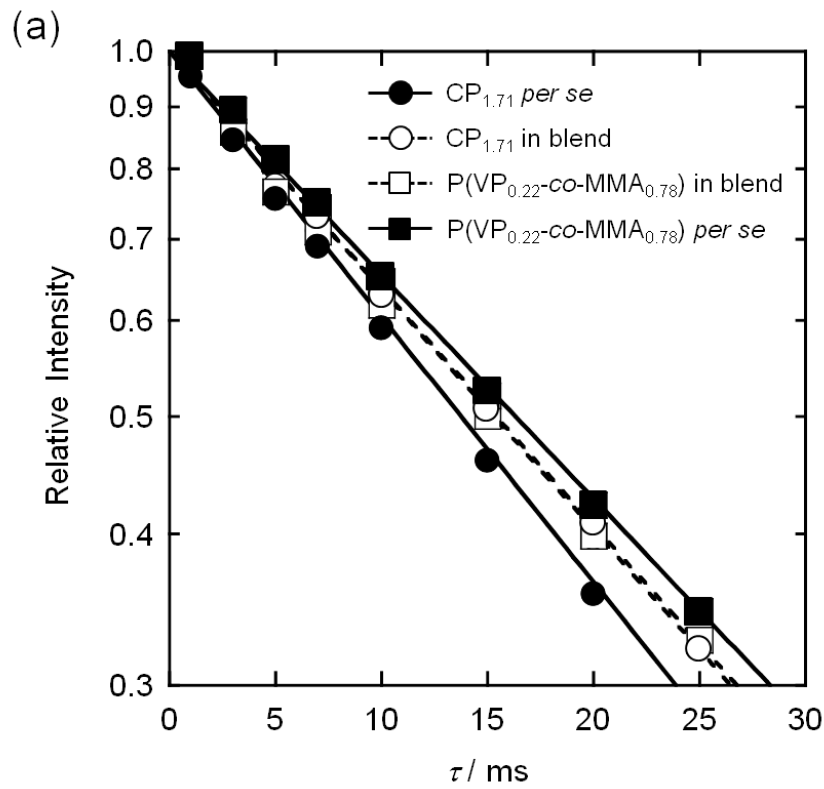
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<<Fig. 4.>>

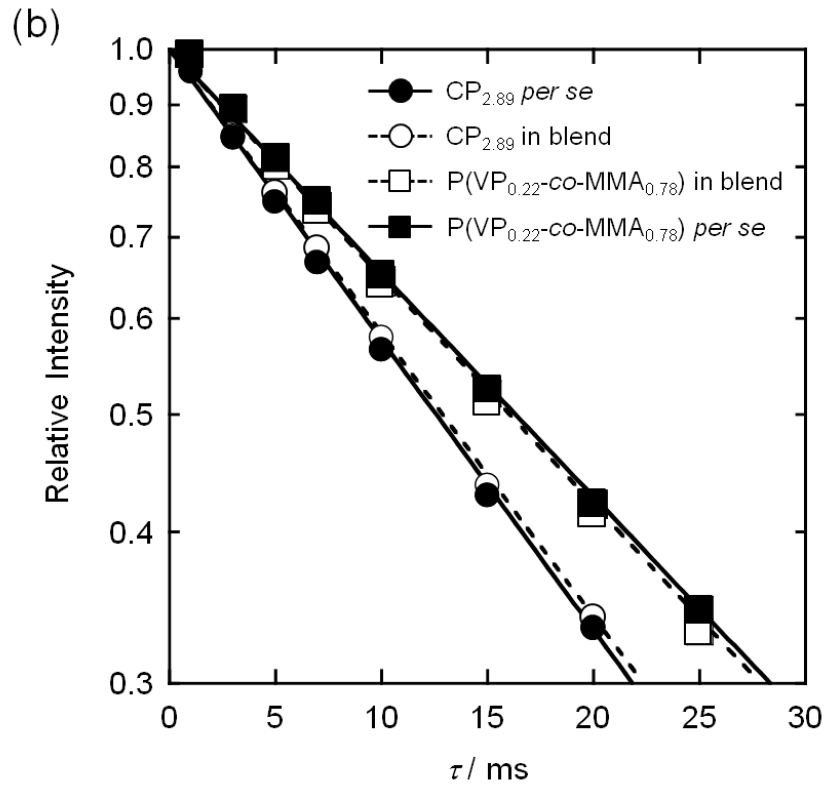


<<Fig. 5.>>



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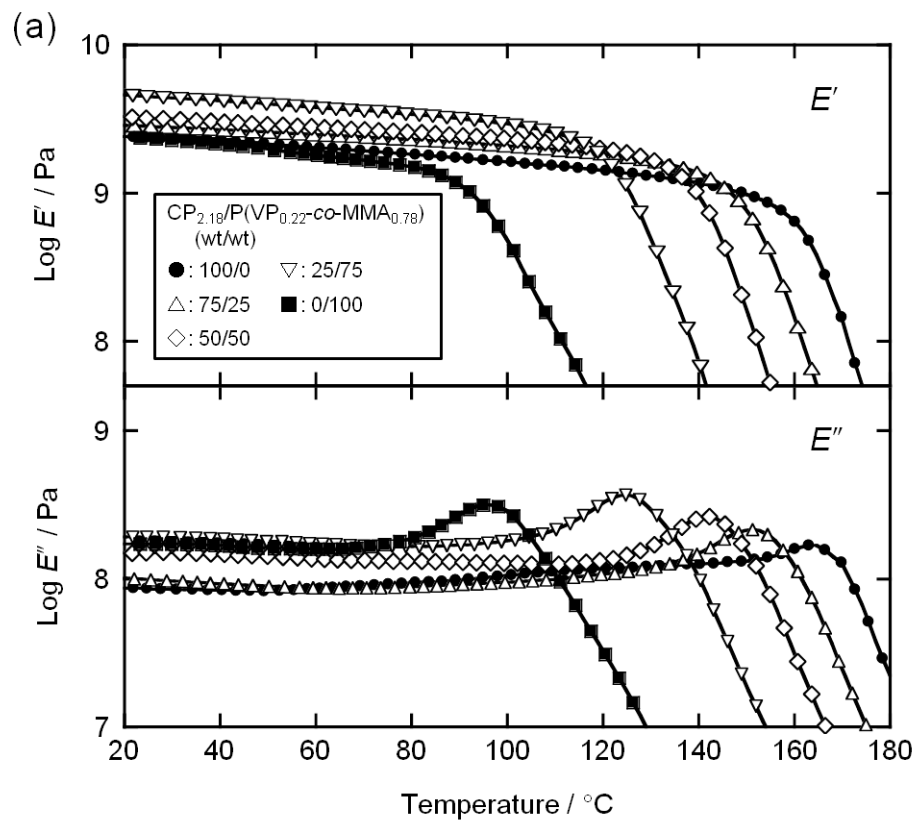


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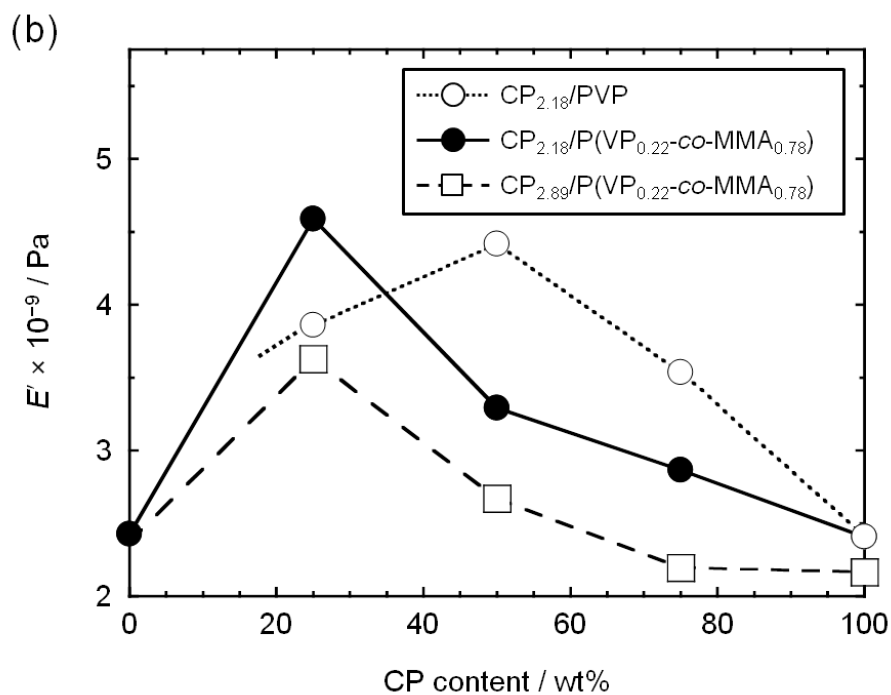
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<<Fig. 6.>>



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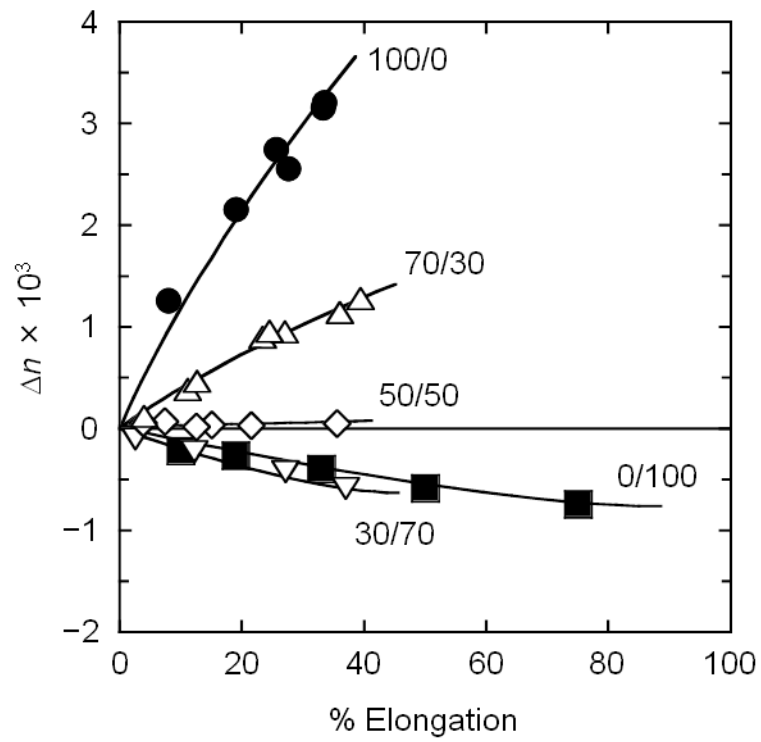
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<<Fig. 7.>>

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<<Fig. 8.>>

1 **Table 1** Characterization of CP, CAP, and vinyl polymers used in the present study

Sample code ^a	M_w ^c	M_n ^c	M_w/M_n ^c	T_g / °C	Source
CP _{1.59}	1,230,000	585,000	2.10	165	Synthesized
CP _{1.71}	2,010,000	850,000	2.36	162	Synthesized
CP _{2.09}	1,190,000	571,000	2.08	160	Synthesized
CP _{2.18}	1,300,000	577,000	2.25	157	Synthesized
CP _{2.33}	844,000	258,000	3.27	155	Synthesized
CP _{2.53}	818,000	367,000	2.23	141	Synthesized
CP _{2.62}	979,000	359,000	2.73	138	Synthesized
CP _{2.72}	2,390,000	968,000	2.47	134	Synthesized
CP _{2.81}	1,990,000	837,000	2.38	128	Synthesized
CP _{2.89}	2,000,000	692,000	2.89	127	Synthesized
CP _{2.93}	1,250,000	525,000	2.38	124	Synthesized
CA _{0.16} P _{2.52}	258,000	73,400	3.51	143	Eastman Chemical Co.
CA _{0.47} P _{2.48}	240,000	98,500	2.44	132	Synthesized

Sample code ^b	M_w ^d	M_n ^d	M_w/M_n ^d	T_g / °C	Source
PVP	360,000 ^e	—	—	177	Nacalai Tesque, Inc.
P(VP _{0.76-co} -MMA _{0.24})	78,700	31,400	2.51	121	Synthesized ^f
P(VP _{0.67-co} -MMA _{0.33})	204,000	55,100	3.70	134	Synthesized ^f
P(VP _{0.61-co} -MMA _{0.39})	193,000	52,700	3.66	121	Synthesized ^f
P(VP _{0.50-co} -MMA _{0.50})	184,000	61,300	3.00	119	Synthesized ^f
P(VP _{0.46-co} -MMA _{0.54})	257,000	91,100	2.82	112	Synthesized ^f
P(VP _{0.42-co} -MMA _{0.58})	288,000	108,000	2.67	117	Synthesized ^f
P(VP _{0.32-co} -MMA _{0.68})	97,300	37,800	2.57	104	Synthesized ^f
P(VP _{0.22-co} -MMA _{0.78})	189,000	70,800	2.66	111	Synthesized ^f
P(VP _{0.13-co} -MMA _{0.87})	91,800	44,100	2.08	100	Synthesized ^f
P(VP _{0.09-co} -MMA _{0.91})	97,800	47,300	2.07	101	Synthesized ^f
PMMA	88,400	35,000	2.53	100	Aldrich Chemical Co.

^a The DS values were determined by ¹H NMR.

^b The VP contents were determined by FT-IR in a way described by Liu et al. (1994).

^c Determined by gel permeation chromatography (mobile phase, tetrahydrofuran at 40 °C) with polystyrene standards.

^d Determined by gel permeation chromatography (mobile phase, 10 mM L⁻¹ lithium bromide/DMF at 40 °C) with polystyrene standards.

^e Nominal value.

^f Synthesized in our laboratory by radical polymerization of two distilled monomers, VP (Nacalai Tesque, Inc.) and MMA (Nacalai Tesque, Inc.), in the same way as that described in a previous paper (Ohno & Nishio, 2007a).

2 **Table 2** $T_{1\rho}^H$ values obtained for two series of blends, CP_{1.71}/P(VP_{0.22-co}-MMA_{0.78}) and
3 CP_{2.89}/P(VP_{0.22-co}-MMA_{0.78})

CP _{1.71} /P(VP _{0.22-co} -MMA _{0.78}) (wt/wt)		$T_{1\rho}^H$ / ms							
		CP _{1.71}				P(VP _{0.22-co} -MMA _{0.78})			
		C2/3/5	C8	C9	Ave.	α/ϵ	b/c/ β	d/ γ	Ave.
100/0		20.5	20.3	19.2	20.0	—	—	—	—
75/25		21.2	21.6	20.5	21.1	21.2	21.5	21.2	21.3
50/50		22.2	22.8	21.7	22.2	22.1	22.1	22.4	22.2
25/75		23.1	22.9	22.9	23.0	22.9	23.0	22.8	22.9
0/100		—	—	—	—	23.3	23.5	24.0	23.6

CP _{2.89} /P(VP _{0.22-co} -MMA _{0.78}) (wt/wt)		$T_{1\rho}^H$ / ms							
		CP _{2.89}				P(VP _{0.22-co} -MMA _{0.78})			
		C2/3/5	C8	C9	Ave.	α/ϵ	b/c/ β	d/ γ	Ave.
100/0		18.5	17.6	17.7	17.9	—	—	—	—
75/25		18.9	19.5	18.7	19.0	22.7	23.5	23.2	23.1
50/50		18.8	18.5	19.2	18.8	23.3	23.4	23.1	23.3
25/75		18.9	18.6	18.8	18.8	23.1	22.6	23.7	23.1
0/100		—	—	—	—	23.3	23.5	24.0	23.6